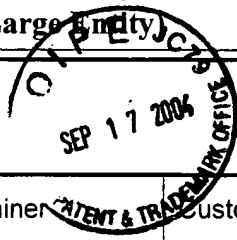


**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
414068AUS

In Re Application Of: Yoshihiro Moriguchi et al.



| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|------------|--------------|----------------|------------------|
| 10/813,926 | 03/31/2004 | Unassigned | 27774 | 1752 | 5063 |

Invention: **SUBSTRATE TREATMENT APPARATUS, SUBSTRATE TREATMENT METHOD AND SUBSTRATE MANUFACTURING METHOD**

Mail Stop Missing Parts

COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
06/14/2004
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Letter Regarding Submission of Priority Documents

Certified Priority Document JP 2003-112403

Declaration of Translator

English Translation of JP 2003-112403

Certified Priority Document JP 2003-112404

Declaration of Translator

English Translation of JP 2003-112404

3 page Transmittal of Information Disclosure Statement

1 page PTO-1449

1 copy of each of 4 Japanese patents

22 page Preliminary Amendment

09/17/2004 LWNDIHI 00000080 10813926 110.00 0P
05 FC:1251

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
414068AUS

In Re Application Of: **Yoshihiro Moriguchi et al.**

| | | | | | |
|-------------------------------|---------------------------|------------------------|-----------------------|------------------------|--------------------------|
| Application No. 10/813,926 | Filing Date 03/31/2004 | Examiner Unassigned | Customer No. 27774 | Group Art Unit 1752 | Confirmation No. 5063 |
|-------------------------------|---------------------------|------------------------|-----------------------|------------------------|--------------------------|

Invention: SUBSTRATE TREATMENT APPARATUS, SUBSTRATE TREATMENT METHOD AND SUBSTRATE MANUFACTURING METHOD

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

☒ Completion of application fees as calculated below:

| | |
|--|----------|
| <input checked="" type="checkbox"/> Utility application filing fee | \$770.00 |
|--|----------|

☐ Design application filing fee

☒ Total number of independent claims = **47** **\$486.00**

| | | |
|--|---|---------|
| <input checked="" type="checkbox"/> Total number of claims = | 4 | \$86.00 |
|--|---|---------|

☐ Multiple dependent claims

| | |
|---|-----------------|
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | \$130.00 |
|---|-----------------|

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$1,472.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: 08/14/2004 until: 09/14/2004
Date Date

| | |
|---------------------------|-----------------|
| Total time extension fees | \$110.00 |
|---------------------------|-----------------|

Total fees due \$1,582.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
414068AUS

In Re Application Of: **Yoshihiro Moriguchi et al.**

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|------------|--------------|----------------|------------------|
| 10/813,926 | 03/31/2004 | Unassigned | 27774 | 1752 | 5063 |

Invention: **SUBSTRATE TREATMENT APPARATUS, SUBSTRATE TREATMENT METHOD AND SUBSTRATE MANUFACTURING METHOD**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

The fee of **\$1,582.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any ~~fee which may be required~~ ^{deficiencies}, or credit any overpayment, to Deposit Account No. **50-1047**
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
- ☒ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.


Signature

Dated: *September 14, 2004*

Keum J. Park Reg. No. 42,059
Mayer Fortkort & Williams, PC
251 North Avenue West, 2nd Floor
Westfield, NJ 07090

Tel.: 908-518-7700

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CF. : 1.8(a)] on

(Date)

Marjorie Scariati 9/14/04
Signature of Person Mailing Correspondence

Marjorie Scariati

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/813,926 | 03/31/2004 | Yoshihiro Moriguchi | 414068AUS |

CONFIRMATION NO. 5063

27774
 MAYER, FORTKORT & WILLIAMS, PC
 251 NORTH AVENUE WEST
 2ND FLOOR
 WESTFIELD, NJ 07090

FORMALITIES LETTER



OC000000012929837



Date Mailed: 06/14/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/17/2004 LWONDIM1 00000080 10813926

FILED UNDER 37 CFR 1.53(b)

| | |
|------------|-----------|
| 01 FC:1001 | 770.00 OP |
| 02 FC:1051 | 130.00 OP |
| 03 FC:1201 | 86.00 OP |
| 04 FC:1202 | 486.00 OP |

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$572** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1472** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$572**

- \$86 for 1 independent claims over 3.
- \$486 for 27 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

B.H.
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE